

File No.: 9-13528-216US

March 18, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Robert GRIFFIOEN.  
**Serial No.:** Not yet assigned.  
**Filed:** Concurrently herewith.  
**Title:** METHOD AND APPARATUS FOR PROTECTING  
OPTICAL RECEIVERS FROM OVERLOAD OPTICAL  
SIGNALS

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Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

Sir:

**INFORMATION DISCLOSURE STATEMENT**  
**PRIOR TO FIRST OFFICE ACTION**

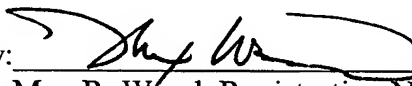
Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

By:



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Encls.

Form PTO-1449 (Rev. 7-80)	U.S. Department of Commerce Patent & Trademark Office	ATTY. DOCKET NO. 9-13528-216US	SERIAL NO. Not yet assigned.
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Robert GRIFFIOEN	
		FILING DATE: Concurrently herewith.	GROUP

## U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	4,623,786	18 Nov./86	Rodwell	250	214	07 Nov./84
	AB	6,313,459	06 Nov./01	Hoffe et al.	250	214	31 May/00
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document number	Date	Country	Class	Subclass	Translation
	AL	01157632	20 Jun/89	Japan	H04B	9/00	
	AM	02004033	09 Jan/90	Japan	H04B	10/00	
	AN	05218758	27 Aug/93	Japan	H03F	3/08	
	AO	59063787	11 Apr/84	Japan	H01S	3/096	
	AP	63256023	24 Oct/88	Japan	H04B	9/00	

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Quantum Devices, Inc. "Improving the Quality of Life through the Power in Light", Quantum Facts Series: QF1-01
	AS	
	AT	

Examiner	Date considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	